# X-Cipher: Achieving Data Resiliency in Homomorphic Ciphertexts

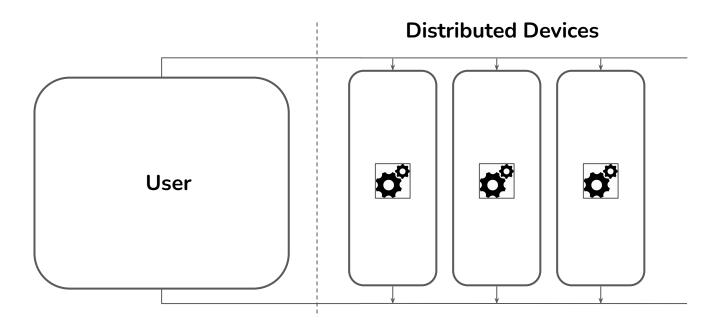
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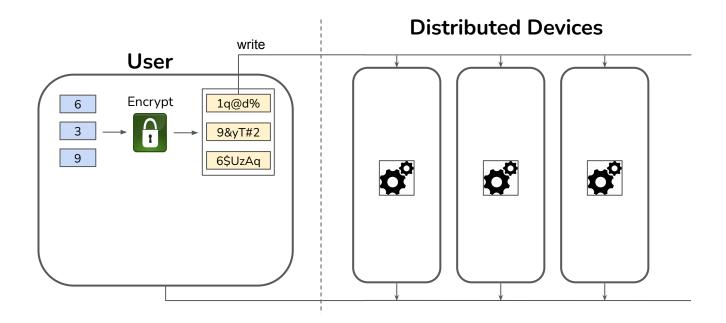




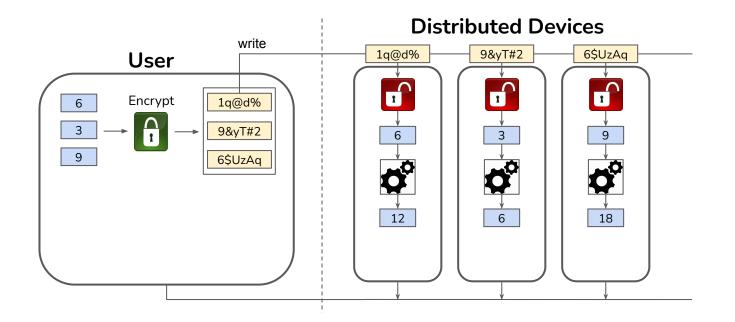
• Outsourcing computations to the cloud has become incredibly common



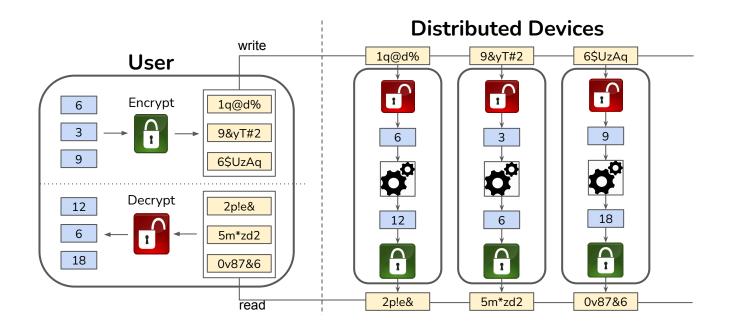
• To leverage cloud services on secrets, User's might encrypt their data in-transit



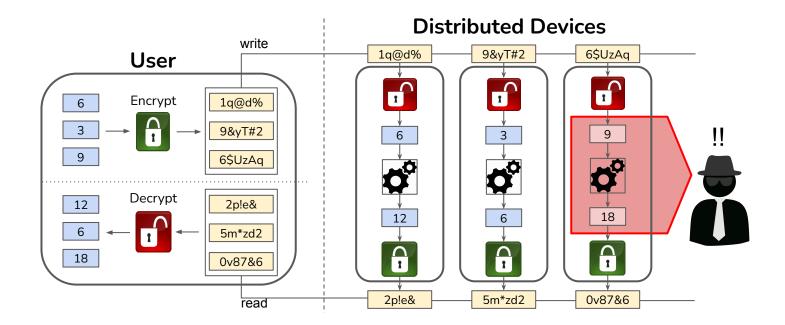
• Using a shared shared key, the cloud would decrypt the secrets to compute their outputs



• Servers transmit the encrypted output back to the user



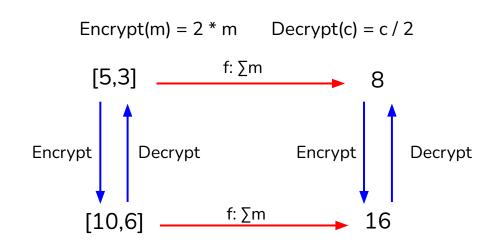
• Since data is decrypted during computations, could lead to potential leakages



# A solution: Homomorphic Encryption (HE)

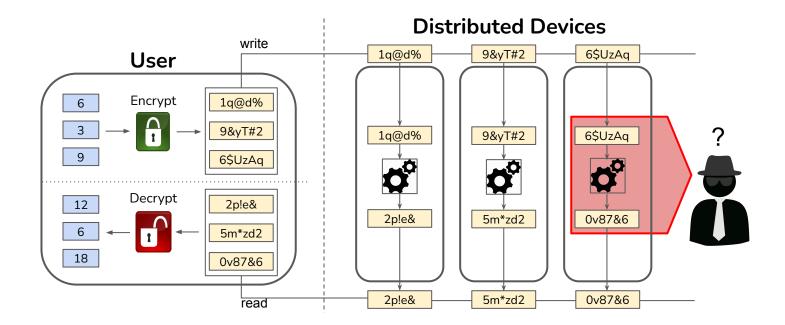
- Produces ciphertexts that can undergo computations without decryption
- Protect data while in-storage, in-transit, and *in-use*

Toy Example:



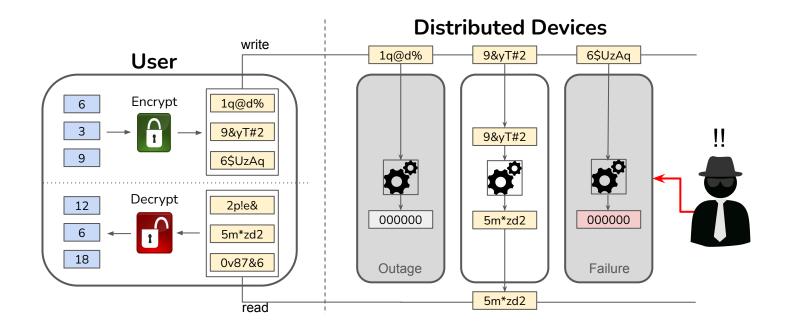
• Partially (add. or mult.) or Fully (add. and mult.) homomorphic

• With support for HE, computations can take place without ever revealing the secrets



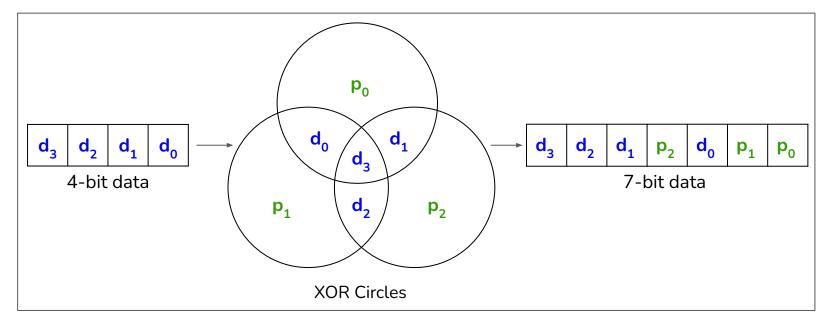
### **Challenge: Making HE ciphertexts resilient**

• How can we handle data losses in the cloud?



# **Common approach: Erasure codes**

• Compute erasure codes over plaintext to enable recovery



• Distribute redundancy codewords over servers

# The problem with erasure codes and HE ciphertexts

How can it be applied to ciphertexts?

• If trivially applied, would be computed on the ciphertext level



- Problem:
  - Storage impact of codewords is proportional to input
  - HE ciphertexts can be LARGE  $\rightarrow$  Ciphertexts can be large polynomials (Ring-LWE based FHE)
    - ~6000% size increase from plaintext

# Alternative: Codewords first, then encrypt

A version Encrypting-with-Redundancy

• Not well studied for Homomorphic Encryption



#### • Problem:

- Assume the ciphertexts are not operated on, or only supported for partial homomorphic operations
- Not applicable for fully homomorphic schemes
- Not applicable for variety of complex cloud computing operations

# To bridge this gap: X-Cipher

• Enables recovery of fully homomorphic ciphertexts without decryption

• Leverages encoding and packing techniques for optimized storage

• Maintains privacy and recoverability across fully-homomorphic computations

# Fully Homomorphic Encryption (FHE)

- Enables additions and multiplications without decryption
- This work uses schemes based on Ring Learning-with-Errors (Ring-LWE)
  - Elements are based on polynomial ring  $R_a = Z_a[x]/\Phi[x]$
  - Plaintext values are encoded into polynomials

Example:

$$\mathsf{A} \longrightarrow \mathsf{A}(\mathsf{x}) = \mathsf{a}_0 + \mathsf{a}_1 \mathsf{x} + \mathsf{a}_2 \mathsf{x}^2 + \dots + \mathsf{a}_n \mathsf{x}^n$$

• Compared to standard encryption, FHE has large storage requirement

# **Optimized polynomial encoding: Ciphertext Packing**

Subfield packing: Packing values into subfields using Chinese Remainder Theorem (CRT)

Polynomial modulus:  $\Phi(x) = x^4 + 1 = (x - 2)(x - 2^3)(x - 2^5)(x - 2^7) \pmod{17}$ 

Each vector element corresponds to a 0 degree polynomial:

v = [8, 5, 16, 9]  $1 + x + 7x^{2} + 12x^{3} \equiv 8 \mod (17, x-2)$   $1 + x + 7x^{2} + 12x^{3} \equiv 5 \mod (17, x-2^{3})$   $1 + x + 7x^{2} + 12x^{3} \equiv 16 \mod (17, x-2^{5})$   $1 + x + 7x^{2} + 12x^{3} \equiv 9 \mod (17, x-2^{7})$ 

Utilized in CKKS and BGV – X-Cipher leverages BGV scheme

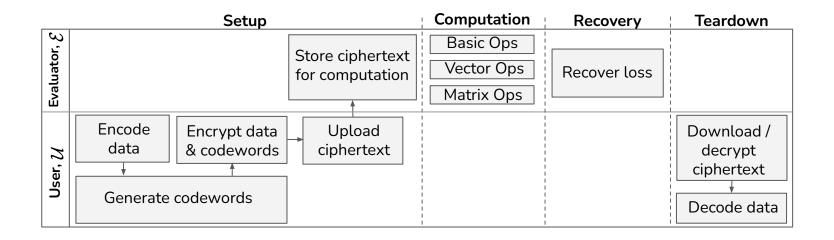
Enables SIMD-like homomorphic operations

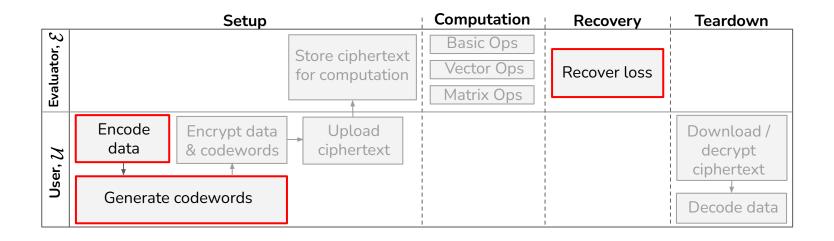
# X-Cipher key ideas:

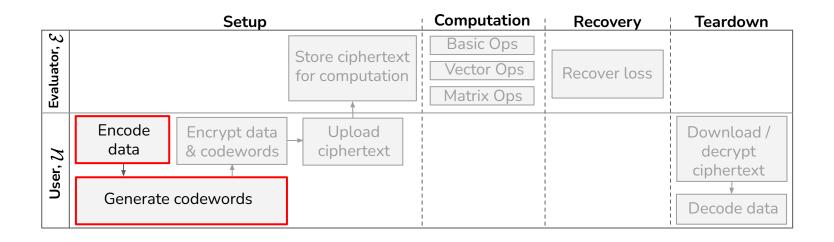
• Generate code words for plaintext vectors and pack them alongside each other for optimized storage and recovery capability

• Provide homomorphic recovery algorithms to enable data recovery without requiring decryption

• Enable computations over homomorphic ciphertexts that maintain the ability to recover intermediate or final results

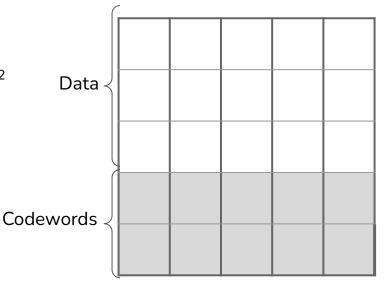






## X-Code for codewords

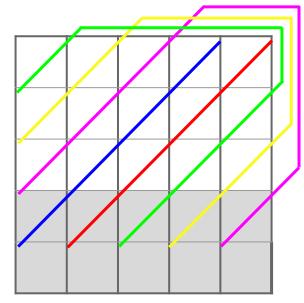
- Utilize X-Code erasure codes
  - $\circ$  Dual parity  $\rightarrow$  two column recovery
  - Only requires xor operations  $\rightarrow$  Addition in  $Z_2$
- Construct an *n x n* grid
  - First *n*-2 rows are **data**
  - $\circ$  Last 2 rows with codewords





## How to generate codewords?

• First row of codewords is computed by addition along slope +1 diagonals

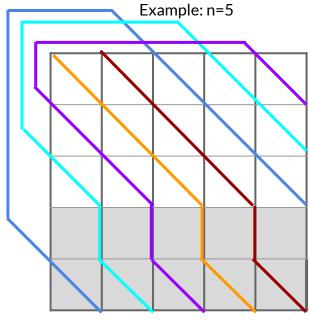


Example: n=5

#### How to generate codewords

• First row of codewords is computed by addition along +1 slope diagonals

• Second row of codewords is computed by addition along -1 slope diagonals



Example: n=5

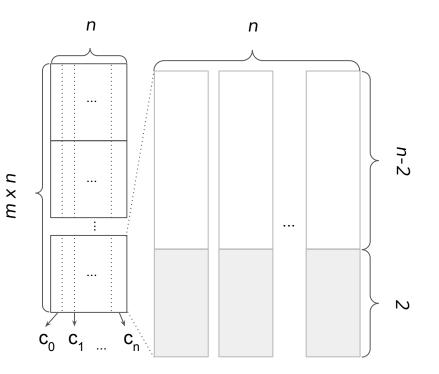
### X-Code structure for large data

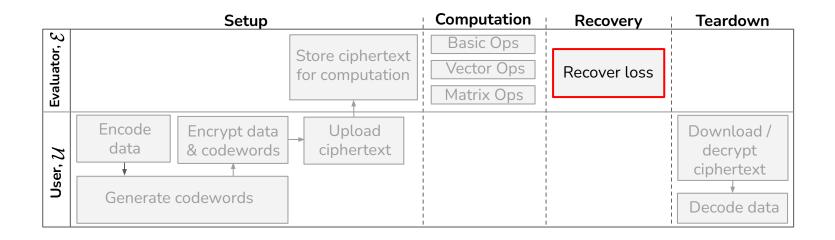
- Problem: proportion of recoverable data decreases as grid becomes larger
- Additionally, might assume we have more available servers

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			-			

# **X-Cipher Structure**

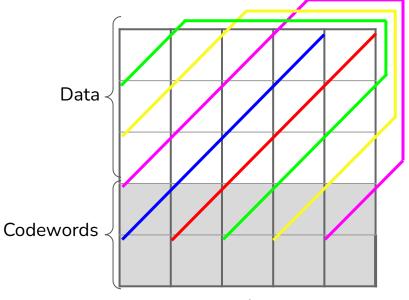
- Stack *m* of the *n x n* grids vertically
- Use encoding and ciphertext packing to encrypt each column into a single ciphertext
- Perform operations on each column
- Maximizes the "utilization" of ciphertext packing





# **Recovery follows algorithm of X-Code**

• Summing along the diagonals to recover data

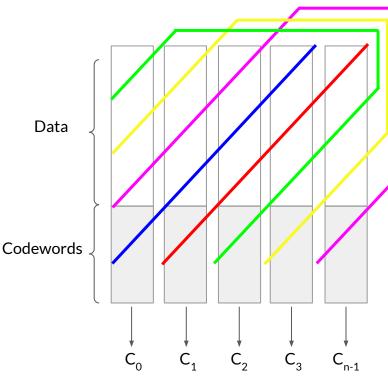


Example: n=5

# **Recovery follows algorithm of X-Code**

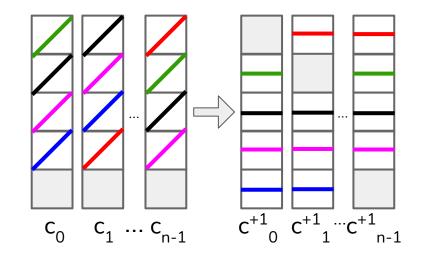
- Summing along the diagonals to recover data
- However, ciphertexts correspond to a single column

• We must *rotate* the columns



# **Ciphertext Rotation**

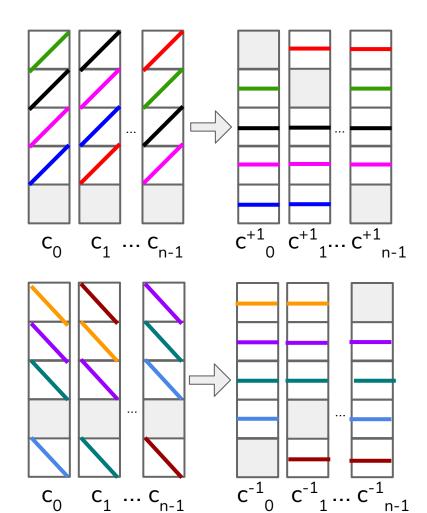
• For the +1 sloped diagonals, the column c<sub>i</sub> must be rotated by *n-i-1* 



# **Ciphertext Rotation**

• For the +1 sloped diagonals, the column c<sub>i</sub> must be rotated by *n-i-1* 

• For the -1 sloped diagonals, the column c<sub>i</sub> must be rotated by *i* 

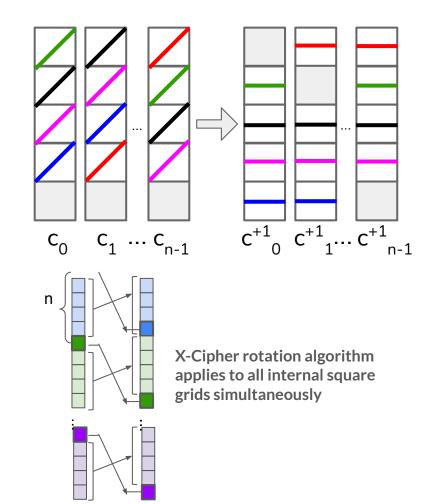


# **Ciphertext Rotation**

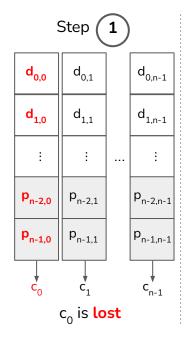
• For the +1 sloped diagonals, the column c<sub>i</sub> must be rotated by *n-i-1* 

• For the -1 sloped diagonals, the column c<sub>i</sub> must be rotated by *i* 

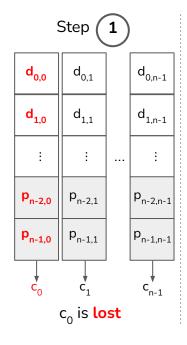
• Recall: X-Cipher structure is multiple square grids



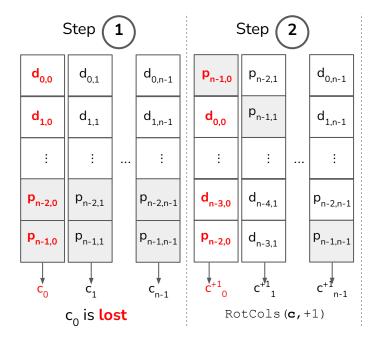
Consider a case in which Adversary has caused failure in Server 0 ( $c_0$  is lost)



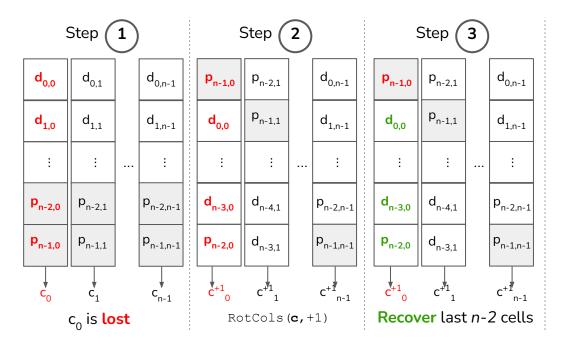
First we, detect  $c_0$  is lost due to a lack of response from Server 0



Second, we begin rotating and summing to recover via +1 sloped codewords



We **recover** all data within  $c_0$  except for the final codeword  $p_{n-1,0}$ 



Perform another rotation and summing to recover the final data

Q	Step (	1	)		Q	Step (	)		Step (	)		Step 4						
<b>d</b> <sub>0,0</sub>	d <sub>0,1</sub>		d <sub>0,n-1</sub>		р <sub>п-1,0</sub>	p <sub>n-2,1</sub>		d <sub>0,n-1</sub>	р <sub>п-1,0</sub>	p <sub>n-2,1</sub>		d <sub>0,n-1</sub>		d <sub>0,0</sub>	d <sub>1,1</sub>		P <sub>n-1,n-2</sub>	
<b>d</b> <sub>1,0</sub>	d <sub>1,1</sub>		d <sub>1,n-1</sub>		<b>d</b> <sub>0,0</sub>	p <sub>n-1,1</sub>		d <sub>1,n-1</sub>	d <sub>0,0</sub>	p <sub>n-1,1</sub>		d <sub>1,n-1</sub>		d <sub>1,0</sub>	d <sub>2,1</sub>		d <sub>0.n-1</sub>	
:	:		:		:	:		:	:	:		:		:	:		:	
<b>p</b> <sub>n-2,0</sub>	p <sub>n-2,1</sub>		p <sub>n-2,n-1</sub>		d <sub>n-3,0</sub>	d <sub>n-4,1</sub>		p <sub>n-2,n-1</sub>	d <sub>n-3,0</sub>	d <sub>n-4,1</sub>		p <sub>n-2,n-1</sub>		<b>p</b> <sub>n-1,0</sub>	p <sub>n-2,1</sub>		d <sub>n-3,n-1</sub>	
<b>p</b> <sub>n-1,0</sub>	p <sub>n-1,1</sub>		p <sub>n-1,n-1</sub>		р <sub>п-2,0</sub>	d <sub>n-3,1</sub>		p <sub>n-1,n-1</sub>	р <sub>п-2,0</sub>	d <sub>n-3,1</sub>		p <sub>n-1,n-1</sub>		<b>p</b> <sub>n-2,0</sub>	d <sub>0,1</sub>		p <sub>n-1,n-1</sub>	
c <sub>0</sub>	C_1	,	c <sub>n-1</sub>		<b>C</b> <sup>+1</sup> 0	c+1		c+1	C <sup>+1</sup> 0	c+1	I	c+1		<b>c</b> <sup>-1</sup> <sub>0</sub>	c <sup>-1</sup> 1	I	C <sup>-1</sup>	
c <sub>o</sub> is <b>lost</b>					Ro	tCols	+1)	Recover last n-2 cells					RotCols( <b>c</b> ,-1)					

 $c_0$  has now been fully recovered. It can be redistributed to continue operations

Step 1				Step 2					Step 3				Step 4					Step 5				
<b>d</b> <sub>0,0</sub>	d <sub>0,1</sub>		d <sub>0,n-1</sub>	р <sub>п-1,0</sub>	p <sub>n-2,1</sub>		d <sub>0,n-1</sub>		р <sub>п-1,0</sub>	p <sub>n-2,1</sub>		d <sub>0,n-1</sub>	d <sub>0,0</sub>	d <sub>1,1</sub>		p <sub>n-1,n-2</sub>		<b>d</b> <sub>0,0</sub>	d <sub>1,1</sub>		p <sub>n-1,n-2</sub>	
<b>d</b> <sub>1,0</sub>	d <sub>1,1</sub>		d <sub>1,n-1</sub>	d <sub>0,0</sub>	p <sub>n-1,1</sub>		d <sub>1,n-1</sub>		d <sub>0,0</sub>	p <sub>n-1,1</sub>		d <sub>1,n-1</sub>	d <sub>1,0</sub>	d <sub>2,1</sub>		d <sub>0.n-1</sub>		<b>d</b> <sub>1,0</sub>	d <sub>2,1</sub>		d <sub>0.n-1</sub>	
:	:		:	:	:		:		:	:		:	:	:		:		:	:		:	
<b>p</b> <sub>n-2,0</sub>	p <sub>n-2,1</sub>		p <sub>n-2,n-1</sub>	<b>d</b> <sub>n-3,0</sub>	d <sub>n-4,1</sub>		p <sub>n-2,n-1</sub>		d <sub>n-3,0</sub>	d <sub>n-4,1</sub>		p <sub>n-2,n-1</sub>	 <b>p</b> <sub>n-1,0</sub>	p <sub>n-2,1</sub>		d <sub>n-3,n-1</sub>		р <sub>п-1,0</sub>	p <sub>n-2,1</sub>		d <sub>n-3,n-1</sub>	
р <sub>п-1,0</sub>	p <sub>n-1,1</sub>		p <sub>n-1,n-1</sub>	р <sub>п-2,0</sub>	d <sub>n-3,1</sub>		p <sub>n-1,n-1</sub>		<b>p</b> <sub>n-2,0</sub>	d <sub>n-3,1</sub>		p <sub>n-1,n-1</sub>	<b>p</b> <sub>n-2,0</sub>	d <sub>0,1</sub>		p <sub>n-1,n-1</sub>		<b>p</b> <sub>n-2,0</sub>	d <sub>0,1</sub>		p <sub>n-1,n-1</sub>	
C <sub>0</sub>	c <sub>1</sub>		c <sub>n-1</sub>	<b>C</b> <sup>+1</sup> 0	c <sup>+1</sup> 1		c+1		<b>C</b> <sup>+1</sup> 0	c <sup>+1</sup> 1		c+1	<b>c</b> <sup>-1</sup> 0	c <sup>-1</sup> 1	,	C <sup>-1</sup> , n-1		c <sub>0</sub> ↓	c <sub>1</sub>		c <sub>n-1</sub>	
	c <sub>0</sub> is lost Rot			otCols( <b>c,</b> +1)				Recover last n-2 cells			RotCols( <b>c</b> ,-1)					Reassemble final data						

## Other features (see paper for details!)

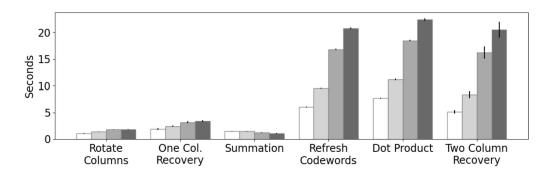
• Two column recovery  $\rightarrow$  multiple iterations of the one-column recovery

- Basic operations / Primitive operations:
  - Homomorphic arithmetic, refresh codewords, dot-product, summation

- Demonstrate construction of complex algorithms:
  - Private set intersection (PSI)
  - Matrix multiplication

# Results

- Evaluated using CloudLab
- Primitive function timing
- Ciphertext size impact



F	Parameters			Size (KB)						
Dimension (n)	Multiples (m)	Data Cells	Plaintext	Ciphertext (X-Cipher)	Ciphertext (without)					
5	7	180	0.72	0.93	55.8					
7	9	315	1.26	1.30	82.1					
11	5	495	1.98	2.05	112.5					
13	4	572	2.28	2.42	125.7					

# Thank you!



#### Information:

Paper (via ICICS):



Code (via Github):



**Contact:** My email (ac7717@rit.edu)